

AUDIT, CRIME & DISORDER AND SCRUTINY COMMITTEE
26 NOVEMBER 2015

Residual Risks from Audit Findings for Epsom and Ewell Borough Council – Progress

No.		Issue		Progress to date (November 2015)
1.	Executive & Member Engagement (Financial Governance)	The Council should ensure that the Heads of Service have received full training and on-going support during 2015/16 to ensure that they fulfil the enhanced role in leading the Council as part of the newly formed management team. There needs to be corporate focus by the Heads of Service from 2015/16 onwards to ensure the whole Council delivers the budget and savings identified.	Green	A development programme is being rolled out to the Leadership Team to enable them to work effectively as a Team and drive forward the required changes to the organization.
2.	Budget setting & monitoring – revenue & capital (Financial Control)	The Council should ensure that amendments to the capital programme during the year are realistic and achievable by year end to avoid significant slippage in the next financial year. In addition, the Council should ensure that when capital programme budgets are approved they are realistic to ensure that the final project costs are not overspent	Amber	Due to the reduced funding available, going forward for 2016 – 2020 there will be fewer projects within the capital programme and resources can be focused on their delivery. The Finance Team will continue to reiterate the need for robust budget and target setting within year. In addition two sets of training have been delivered to capital budget holders.
3.	Effectiveness of key services(improving efficiency & productivity)	The Council received a red rated report from Internal Audit during the year for the procurement arrangements. Improvements need to be made in the service and the internal audit recommendations and the findings from the recent external health check should be implemented to ensure value for money is achieved in contract procurements	Red	The independent health check was completed in August with a number of actions covering strategy, policy and systems. The Procurement Group has been established as part of addressing gaps in governance and will be key in addressing the action plan An update including a new Procurement Strategy will be reported to Strategy & Resources in Jan 16